

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

# Annual Return (other than OPCs and Small Companies)

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

U17111GJ2001PLC039239

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACE8331P

(ii) (a) Name of the company

RIVAA EXPORTS LIMITED

(b) Registered office address

'RIVAA HOUSE'  
UDHNA DARWAJA,  
SURAT  
Gujarat  
395002



(c) \*e-mail ID of the company

accounts@rivaagroup.com

(d) \*Telephone number with STD code

02612365665

(e) Website

(iii) Date of Incorporation

07/02/2001

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(b) CIN of the Registrar and Transfer Agent

Pre-fill

Name of the Registrar and Transfer Agent

Registered office address of the Registrar and Transfer Agents

(vii) \*Financial year From date  (DD/MM/YYYY) To date  (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted  Yes  No

(d) If yes, provide the Service Request Number (SRN) of the application form filed for extension

**Pre-fill**

(e) Extended due date of AGM after grant of extension

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C2	Textile, leather and other apparel products	99.5
2	G	Trade	G1	Wholesale Trading	0.5

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

**Pre-fill All**

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	19,000,000	8,041,600	8,041,600	8,041,600
Total amount of equity shares (in Rupees)	190,000,000	80,416,000	80,416,000	80,416,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Share Capital				
Number of equity shares	19,000,000	8,041,600	8,041,600	8,041,600
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	190,000,000	80,416,000	80,416,000	80,416,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
<b>Equity shares</b>						
At the beginning of the year	8,041,600	0	8041600	80,416,000	80,416,000	

<b>Increase during the year</b>	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
<b>At the end of the year</b>	8,041,600	0	8041600	80,416,000	80,416,000	
<b>Preference shares</b>						
<b>At the beginning of the year</b>	0	0	0	0	0	
<b>Increase during the year</b>	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text"/>						
<b>Decrease during the year</b>	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
<b>At the end of the year</b>	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes  No  Not Applicable

Separate sheet attached for details of transfers

Yes  No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		<input type="text"/>
Date of registration of transfer (Date Month Year)		<input type="text"/>
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.) <input type="text"/>

Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

Date of registration of transfer (Date Month Year)				<input type="text"/>		
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock				
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>		Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>		
Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

**(iv) \*Debentures (Outstanding as at the end of financial year)**

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
<b>Total</b>			0

**Details of debentures**

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
<b>Non-convertible debentures</b>	0	0	0	0
<b>Partly convertible debentures</b>	0	0	0	0
<b>Fully convertible debentures</b>	0	0	0	0

**(v) Securities (other than shares and debentures)**

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

**V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)**

**(i) Turnover**

560,864,868

**(ii) Net worth of the Company**

489,347,313

**VI. (a) \*SHARE HOLDING PATTERN - Promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	7,740,600	96.26	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	301,000	3.74	0	
10.	Others	0	0	0	
	<b>Total</b>	8,041,600	100	0	0

**Total number of shareholders (promoters)**

8

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	



8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	<b>Total</b>	0	0	0	0

**Total number of shareholders (other than promoters)**

0

**Total number of shareholders (Promoters+Public/  
Other than promoters)**

8

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	8	8
Members (other than promoters)	0	0
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
<b>A. Promoter</b>	3	0	3	0	70.27	0
<b>B. Non-Promoter</b>	1	0	0	0	0	0
(i) Non-Independent	1	0	0	0	0	0
(ii) Independent	0	0	0	0	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0

(v) Others	0	0	0	0	0	0
Total	4	0	3	0	70.27	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

3

**(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year**

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
HARIKISHAN SUNDER	00900259	Managing Director	4,953,833	
JYOTI HARIKISHAN VI	00270464	Whole-time director	696,654	
JAGMOHAN BALDEV	00270593	Director	1	

**(ii) Particulars of change in director(s) and Key managerial personnel during the year**

1

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
KRUPA JARIWALA	BJRPJ0289F	Company Secretar	05/12/2020	Cessation)

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
AGM	31/12/2020	8	5	82.67

**B. BOARD MEETINGS**

\*Number of meetings held

13

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	15/06/2020	3	3	100
2	20/06/2020	3	3	100
3	19/09/2020	3	2	66.67

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
4	20/10/2020	3	3	100
5	01/12/2020	3	3	100
6	19/12/2020	3	3	100
7	30/12/2020	3	2	66.67
8	12/01/2021	3	3	100
9	13/01/2021	3	3	100
10	19/01/2021	3	3	100
11	02/02/2021	3	3	100
12	15/02/2021	3	3	100

### C. COMMITTEE MEETINGS

Number of meetings held

0

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1					

### D. \*ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	HARIKISHAN	13	13	100	0	0	0	
2	JYOTI HARIK	13	13	100	0	0	0	
3	JAGMOHAN E	13	11	84.62	0	0	0	

### X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	HARIKISHAN SUNI	Managing Director	10,000,000	0	0	0	10,000,000
2	JYOTI HARIKISHAN	Whole time Director	4,000,000	0	0	0	4,000,000
	Total		14,000,000	0	0	0	14,000,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of other directors whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Jagmohan Sapra	Director	2,500,000	0	0	0	2,500,000
	Total		2,500,000	0	0	0	2,500,000

#### XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

#### XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

A G Shaikh

Whether associate or fellow

Associate  Fellow

Certificate of practice number

2171

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.  
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

**Declaration**

I am Authorised by the Board of Directors of the company vide resolution no. ...  dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

**Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.**

**To be digitally signed by**

Director

DIN of the director

**To be digitally signed by**

- Company Secretary  
 Company secretary in practice

Membership number

Certificate of practice number

**Attachments**

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

<b>Attach</b>
<b>Attach</b>
<b>Attach</b>
<b>Attach</b>

**List of attachments**

List of Shareholders.pdf AGM extension order 2021 ROC Gujarat.p Notes for Additional Board Meetings.pdf UDIN for Form MGT-7.pdf Form MGT-8 2021.pdf
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<b>Remove attachment</b>
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Modify
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Check Form
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Prescrutiny
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Submit
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**This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company**

**RIVAA EXPORTS LIMITED**  
**LIST OF SHAREHOLDERS AS ON 31/03/2021**

<b>Sr No.</b>	<b>Name</b>	<b>No. of Shares</b>	<b>Class of Shares</b>
1	Harikishan Sunderlal Virmani	4953833	Equity
2	Jagmohan Baldevraj Sapra	1	Equity
3	Jyoti Harikishan Virmani	6,96,654	Equity
4	Aranksha Virmani	6,96,502	Equity
5	Harikishan Sunderlal Virmani HUF	6,96,654	Equity
6	Sonal G. Arora	300	Equity
7	Sarthak H. Virmani	6,96,656	Equity
8	Lianer Distributors Pvt. Ltd.	3,01,000	Equity
	<b>Total</b>	<b>8041600</b>	

भारत सरकार  
कार्पोरेट कार्य मंत्रालय

कार्यालय कम्पनी रजिस्ट्रार, गुजरात, दादरा एवं नगर हवेली  
आर.डी.सी. भवन, रुपल पार्क के सामने,

अंकुश बस स्टैंड के पास, नारणपुरा, अहमदाबाद (गुजरात) - 380013.

दूरभाष संख्या 079 27438531, फैक्स नं. 079-27438371

वेबसाइट - www.mca.gov.in, ईमेल roc.ahmedabad@mca.gov.in



GOVERNMENT OF INDIA  
MINISTRY OF CORPORATE AFFAIRS  
OFFICE OF THE REGISTRAR OF COMPANIES,  
GUJARAT, DADRA & NAGAR HAVELI  
ROC Bhiwan Camp Rupal Park  
Nr. Ankur Bus Stand, Narainpur, Ahmedabad (Gujarat) - 380013.  
Tel. No.: 079-27438531, Fax : 079-27438371  
Website : www.mca.gov.in E-mail : roc.ahmedabad@mca.gov.in

No.ROC-GJ/AGM EXT./2021-22

Dated:- 23.09.2021

**OFFICE MEMORANDUM OF 1 OF 2021 - AGM EXTENSION - REG.**

**Order: Extension of time for holding of Annual General Meeting (AGM) for the financial year ended on 31.03.2021 in terms of third proviso to section 96(1) of Companies Act, 2013 (the Act).**

1. Whereas sub-section (1) of section 96 of the companies Act, 2013 (the Act) provides, inter-alia, that every company, other than a One-person Company, shall in each year hold in addition to any other meetings, a general meeting as its Annual General Meeting (AGM) and shall specify the meeting as such in the notices calling it, and not more than fifteen months shall elapse between the date of one AGM of a company and that of the next;
2. And whereas, the first proviso to sub-section (1) of section 96 of the Act provides that in case of the first AGM, it shall be held within a period of nine months from the date of closing of the first financial year of the company and in any other case, within a period of six months, from the date of closing of the financial year.
3. And whereas, the third proviso to section 96(1) of the Act provides that the Registrar may, for any special reason, extend the time within which any Annual General Meeting, other than the first Annual General Meeting, shall be held, by a period not exceeding three months.
4. And whereas, various representations have been received from the Companies, Industry bodies and Professional Institutes pointing out that several companies are finding it difficult to hold their AGM for the financial year ended on 31.03.2021 due to the difficulties faced in view of the Covid-19 Pandemic.
5. And whereas, the representations have been considered and the undersigned is of the considered opinion that due to such unprecedented special reason, the time within which the AGM for the financial year ended on 31.03.2021 is required to be



Mee  
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held as per provision of sub-section (1) of the section 96 ought to be extended in terms of the third proviso to section 96(1).

6. Now, therefore in terms of power vested with the undersigned under the third proviso to sub-section (1) of the section 96 of the Act, I hereby extend the time to hold the AGM, other than the first AGM for the financial year ended on 31.03.2021 for companies within the jurisdiction of this office, which are unable to hold their AGM for such period within the due date of holding the AGM by a period of **Two Months** from the due date by which the AGM ought to have been held in accordance with the provisions of sub-section (1) to section 96 of the Act, without requiring the companies to file applications for seeking such extension by filling the prescribed FORM No.GNL-1.

**Explanation I:** It is hereby clarified that the extension granted under this order shall also cover the:

- i. Pending applications filed in form GNL-1 for the extension of AGM for the financial year ended on 31.03.2021, which are yet to be approved.
- ii. Applications filed in form GNL-1 for the extension of AGM for the financial year ended on 31.03.2021, which were rejected.
- iii. Applications filed in form GNL-1 for the extension of AGM for the financial year ended on 31.03.2021, where the extension approved was for a period less than Two Months.

**Explanation II:** It is also clarified that the extension granted under this order shall not cover the applications filed in form GNL-1 for the extension of AGM for the financial year ended on 31.03.2021, where the extension approved was for a period of more than Two Months



*M.K. SAHU*

(M.K.SAHU) (ICLS)  
(REGISTRAR OF COMPANIES)  
GUJARAT, DADRA & NAGAR HAVELI.

PLACE: AHMEDABAD

DATED:- 23.09.2021

**IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**

**B. BOARD MEETINGS**

S. No	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
13	25/03/2021	3	3	100



**A.G. Shaikh** B.Com, FCS  
*Company Secretary & Trade Mark Attorney*

**Form No. MGT-8**

[Pursuant to Section 92 (2) of the Companies Act, 2013 and rule 11 (2) of Companies (Management and Administration) Rules, 2014]

**CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE**

I have examined the registers, records and books and papers of RIVAA EXPORTS LIMITED as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31<sup>st</sup> March, 2021. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company:
1. has complied with provisions of the Act & Rules made there under in respect of its status as Public Company under the Act;
  2. has complied with provisions of the Act & Rules made there under in respect of: maintenance of registers/records & making entries therein within the time prescribed therefor;
  3. has complied with provisions of the Act & Rules made there under in respect of filing of forms and returns as stated in the annual return, **(Annexure "A")** with the Registrar of Companies generally within the prescribed time except few time barring.
  4. has complied with provisions of the Act & Rules made there under in respect of calling/ convening/ holding meetings of Board of Directors and the meetings of the members of the company on due dates as stated in the annual return **(Annexure "B")** in respect of which meetings, notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book maintained for the purpose and the same have been signed;
  5. The company was not required to close its Register of Members;
  6. The Company did not make advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
  7. There were no contracts/arrangements with related parties as specified in section 188 of the Act save and except transactions on Arm's Length Basis;
  8. During the year under review, the company did not issue any securities. There was no transfer or transmission of securities.
  9. The company was not required to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares as there were no such cases.
  10. Since the company has no outstanding amounts of any unpaid or unclaimed dividends, no question of transfer of unpaid/ unclaimed dividend/other amounts as applicable to



the Investor Education and Protection Fund in accordance with section 125 of the Act arose.

11. The company has complied with provisions of the Act & Rules made there under in respect of signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. There was no change in constitution of Board by appointment/ re-appointments/ retirement/ filling up casual vacancies except shown below:

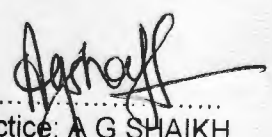
Name	Designation	Date of appointment / change in designation/ cessation	Nature of change
Krupa Jariwala	Company Secretary	05.12.2020	Cessation

The Directors and Key Managerial Personnel made disclosures and remuneration was paid to them in accordance with provisions of the Companies Act, 2013 as applicable to unlisted Public Company as per Rule 7(2) of the Companies (Remuneration to Managerial Personnel) Rules, 2014 other applicable provisions, if any.

13. There was proper reappointment of auditors as per the provisions of section 139 of the Act;
14. The company was not required to obtain any approvals from the Central Government or other competent authority;
15. The company was not required to comply with provisions of Section 73, 74 or the deposit rules as there was no acceptance/ renewal/ repayment of deposits;
16. The company has complied with provisions of the act and the rules in respect of borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
17. The company did not grant any loans and/or made any investments or gave any guarantees or provided any securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;
18. There was no alteration of the provisions of the Memorandum and/ or Articles of Association of the Company;

Place: Surat  
Date: 20/11/2021



Signature:   
Name of Company Secretary in practice: A G SHAIKH  
C.P. No.:2171  
UDIN F010390C001491837

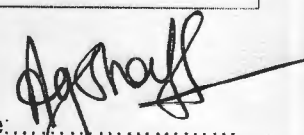
**ANNEXURE 'A'**

Forms and returns filed by the company with Registrar of Companies during the financial year ended on 31<sup>st</sup> March, 2021

Form No.	Under Section	Filed on	For
Form DPT-3	Rule 16 of the Companies (Acceptance of Deposits) Rules, 2014]	24/09/2020 under SRN R59276857	Return of Exempt Deposits for the year ended 31/03/2020
Form MGT-14	117	20/10/2020 under SRN R67860734	For Authentication of Financial Statements for the year ended 31/03/2020
Form PAS-6	Rule 9A Companies (Prospectus and Allotment of Securities Rules, 2014	20/10/2020 under SRN R67900431	Reconciliation of share capital of half year ended on 30.09.2019
Form PAS-6	Rule 9A Companies (Prospectus and Allotment of Securities Rules, 2014	20/10/2020 under SRN R67901231	Reconciliation of share capital of half year ended on 31.03.2020
Form DIR-12	Rule 18 of the Companies (Appointment and Qualification of Directors) Rules, 2014	21/12/2020 under SRN R76350008	Resignation of Mrs. Krupa Jariwala, Company Secretary
Form PAS-6	Rule 9A Companies (Prospectus and Allotment of Securities Rules, 2014	25/12/2020 under SRN R77432912	Reconciliation of share capital of half year ended on 30.09.2020
Form ADT-1	139	01/01/2021 under SRN R82125238	Appointment of Auditor for next five years
Form MGT-7	92	19/01/2021 under SRN R86260403	Annual Return as at 31/03/2020
Form CHG-1	Section 77 and Rule 3(1) of the Companies (Registration of Charges) Rules 2014	26/01/2021 under SRN R89372791	Registration of Charge Modification of charge ID 100163334 of YES Bank.
Form AOC4 XBRL	137	28/01/2021 under SRN R91660498	Adopted Financial Statement for the year ended 31/03/2020 in XBRL
Form CHG-1	Section 77 and Rule 3(1) of the Companies (Registration of Charges) Rules 2014	16/02/2021 under SRN T01681055	Registration of Charge Modification of charge ID 100163334 of YES Bank.

Place: Surat  
Date: 20/11/2021



Signature:   
Name of Company Secretary in practice: A G SHAIKH  
C.P. No.:2171

**ANNEXURE 'B'**

**1. Members/Class /Requisitioned/CLB/NCLT/Court Convened Meetings**

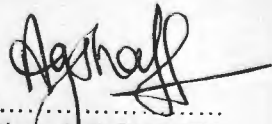
Type of Meeting	Date of Meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members who attended the meeting	% of total shareholding of attended members
Annual General Meeting	31/12/2020	8	5	82.67

**2. Meeting of Board of Directors**

Sr. No	Date of Meeting	Total Number of Directors	Attendance	No. of directors attended as %age of total Directors
1	15/06/2020	3	3	100%
2	20/06/2020	3	3	100%
3	19/09/2020	3	2	66.67%
4	20/10/2020	3	3	100%
5	01/12/2020	3	3	100%
6	19/12/2020	3	3	100%
7	30/12/2020	3	2	66.67%
8	12/01/2021	3	3	100%
9	13/01/2021	3	3	100%
10	19/01/2021	3	3	100%
11	02/02/2021	3	3	100%
12	15/02/2021	3	3	100%
13	25/03/2021	3	3	100%

Place: Surat  
Date: 20/11/2021



Signature:   
Name of Company Secretary in practice: A G SHAIKH  
C.P. No.:2171

UDIN for Form MGT-7 of RIVAA EXPORTS LIMITED for year ended 31.03.2021 is F010390C001491958.